

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EL717377912US

Applicant Burkhard Raguse, et al.
Application No. N/A
Filed April 4, 2002
Title THREE DIMENSIONAL ARRAY FILMS

Docket No. 48150/DBP/B496

INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
April 4, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. Also enclosed is a copy of the corresponding International Search Report (ISR). References listed as "ON ORDER" will be provided upon receipt of the same. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,
CHRISTIE, PARKER & HALE, LLP

By D. Bruce Prout
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626/795-9900

DBP/aam
Enclosures: PTO/SB/08A/B, w/references, and ISR

FORM PTO SB.08A B (10-01) Substitute for PTO-1449A B INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Attorney Docket Number	48150/DBP/B496
	Application Number	N/A
	Filing Date	April 4, 2002
	Applicant(s)	Burkhard Raguse, et al.
	Group Art Unit	N/A
	Examiner Name	N/A

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - kind code ² (if known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,711,803	01-27-1998	Pehnt, et al.

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No.	Foreign Patent Document Country Code ¹ - Number ¹ - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ³ (✓)
		AU 17433/97 A (ON ORDER)	07-28-1997	Heath James R. et al.	
		AU 29947/99 A (ON ORDER)	09-27-1999	WM Marsh Rice University	
		DE 19741496 A (ON ORDER)	03-25-1999	BASF AG	
		DE 19756790 A (ON ORDER)	07-01-1999	Fraunhofer Ges Foerderung Angewandten	

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		International Search Report for PCT/AU00/01210, dated 11-23-00
		International Preliminary Examination Report for PCT/AU00/01210, dated 08-21-01

EXAMINER SIGNATURE		DATE CONSIDERED	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.1. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached